

07-24-03

2813

Practitioner's Docket No.: 782\_193

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Tomohiko SHIBATA, Yukinori NAKAMURA, Mitsuhiro  
TANAKA and Keiichiro ASAI

Ser. No.: 10/004,345

Art Unit: 2813

Filed: November 2, 2001

Examiner: David L. Hogans

Confirmation No.: 3689

For: A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN APPARATUS  
FOR FABRICATING THE SAMECommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 23, 2003 under "EXPRESS MAIL" mailing label number EV 306426917 US.

*Tara L. Preston*  
Tara L. Preston

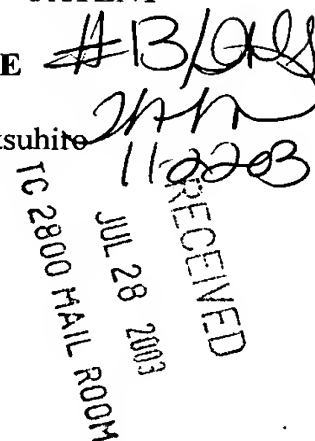
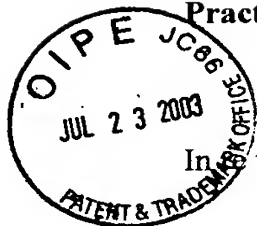
**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

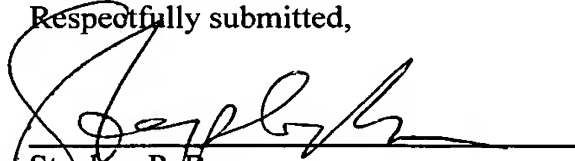
Also enclosed is a copy of a Japanese Office Action bearing a mailing date of July 15, 2003 from a corresponding Japanese patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.



The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

July 23, 2003

\_\_\_\_\_  
Date

SPB/tlp

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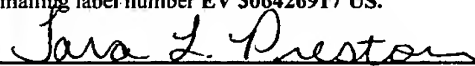
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Tara L. Preston

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

- ☒ Each item of information contained in the Information Disclosure Statement filed herewith  
was *first* cited in a communication from a foreign patent office in a counterpart foreign  
application not more than three months prior to the filing of this Information Disclosure  
Statement.

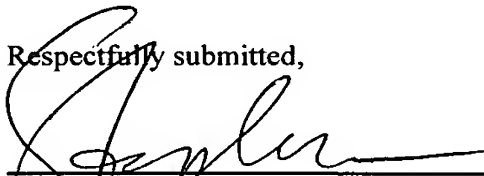
OR

- ☐ No item of information in the Information Disclosure Statement filed herewith was cited in a  
communication from a foreign patent office in a counterpart foreign application or, to my  
knowledge after making reasonable inquiry, was known to any individual designated in 37  
C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure  
Statement.

Respectfully submitted,

July 23, 2003

Date

  
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